

**AUDIT SUBCOMMITTEE
OF THE
LEGISLATIVE MANAGEMENT COMMITTEE**

The Audit Subcommittee of the Legislative Management Committee met in Room 450, State Capitol Building, Salt Lake City, Utah, Tuesday, November 3, 2015, from 12:30 p.m. to 2:00 p.m.

Committee Members Present: President Wayne Niederhauser, Co-Chairman
Speaker Gregory Hughes, Co-Chairman
Senator Gene Davis

Excused Representative Brian King

Legislative Audit Staff: Rick Coleman, Deputy Auditor General
Excused John Schaff, Auditor General
Tim Osterstock, Audit Manager

Darin Underwood, Audit Manager
Lynda Maynard, Recording Secretary
Deanna Herring, Audit Supervisor
Tim Bereece, Audit Supervisor
Kade Minchey, Audit Supervisor
Brian Dean, Audit Supervisor
Chris McClelland, Sr. Perf Auditor
Candace Ware, Legis Lead Auditor
Katherine Stanfill, Performance Auditor

Other Interested Parties:

Rob Brems, President, UCAT
Jared Haines, V.P., Instruction & Student Serv.,

UCAT

Dale M. Cox, UCAT
Justin Whitworth, Mgr., Audit & Financial,

USHE

Jim Olsen, UCAT
Thalea Longhurst, State CTE Dir., USOE
Debbie Davis, Auditor, Ut St Bd of Education
Spencer Jenkins, Asst. Commissioner, USHE
Dr. Joseph Curtin, Asst. Commissioner, USHE
Carlos Braceras, Executive Director, UDOT
Shane Marshall, P.E., Deputy Director, UDOT
Annie Knox, *SL Tribune*

Call to Order

President Niederhauser called the meeting to order at 12:30 p.m.

2. Approval of Minutes

Motion: Speaker Hughes made a motion that the minutes from September 8, 2015 Audit Subcommittee meeting be approved. The motion passed unanimously.

3a. A Performance Audit of CTE Completion and Job Placement Rates (Report #2015-11)

Presented by: Tim Bereece, Audit Supervisor

Discussion following Presentation

Rob Brems, President, UCAT, explained their position on the audit findings with the following points—

- o UCAT and USHE are an example of two systems that are very different from conception to completion and placement
- o UCAT has a lot of disparity because of they way they are constructed
- o Because of the good economy, people who usually come to UCAT for training are finding it more advantageous to spend more time in the workforce, using the skills they earned previously.
- o UCAT training is a little shorter, at this point in time, in compliance with the Employer Advisory Committees
- o UCAT's quest is to have students leave with competency-based credentials
- o UCAT has developed Policy 205—which formalizes the shorter certificates into “Occupational Skill Certificates”
- o UCAT is making progress toward the goal of 66% by 2020

Spencer Jenkins, Assistant Commissioner, USHE, extended Commissioner Buhler’s regrets for being unable to attend the meeting. Mr. Jenkins explained that USHE is trying to gather more granular detail on completion rates for specific programs and they are trying to coordinate the use of survey information to gather common data on employment and job placement information.

Motion: Senator Davis made a motion that the Performance Audit of CTE Completion and Job Placement Rates (Report #2015-11) be referred to the Education Interim Committee as the Primary committee. The motion passed unanimously.

3b. A Limited Review of Allegations Regarding UDOT Noise Walls in Farr West (Report #ILR2015-C)

Presented by: Brian Dean, Audit Supervisor

Discussion following Presentation

Carlos Braceras, Executive Director, UDOT, responded to the audit findings. Mr. Braceras told the Audit Subcommittee that UDOT’s Environmental Document

Process dictates their involvement with the public and UDOT will incorporate the public involvement requirement in their noise wall policies as well as develop a policy for noise wall removal.

Mr. Braceras said that it is important to work with residents to allow them to have a voice in UDOT decisions and UDOT employees go above and beyond what is usually required. Mr. Braceras concluded by saying that UDOT will follow through on all the recommendations made in the audit.

Motion: Speaker Hughes made a motion that the Limited Review of Allegations Regarding UDOT Noise Walls in Farr West (Report #ILR2015-C) be referred to the Infrastructure and General Government Appropriations Subcommittee, as the Primary committee and the Transportation Interim Committee as the Review committee. The motion passed unanimously.

3c. A Follow-up Audit of the Division of Services for People with Disabilities' Response to Audit Report 2014-10 (Report #ILR2015-D)

Note: This report was available for distribution but was not presented

Motion: Senator Davis made a motion that the Follow-up Audit of the Division of Services for People with Disabilities' Response to Audit Report 2014-10 (Report #ILR2015-D) be referred to the Social Services Appropriations Subcommittee, as the Primary Committee and the Health and Human Services Interim Committee as the Review Committee. The motion passed unanimously.

4. Update on Lead Committee Letters

Darin Underwood, Audit Manager, presented the Audit Subcommittee with a copy of the "OLAG Tracking Sheet of Audit Subcommittee Referrals to Lead Committees" and responded to suggestions and concerns from the Audit Subcommittee.

President Niederhauser made a recommendation that when the Audit Subcommittee gets a letter from the assigned "Primary" committee, they send a letter thanking them for responding and for their effort put forth in thoroughly going over the audits and for suggesting policy changes and so forth.

Mr. Underwood said the Auditor General's Office would draft a letter over the Audit Subcommittee's signatures for their use.

5. Adjourn

President Niederhauser adjourned the meeting at 2:00 pm.